

# TRANSFER CHESS HOLDINGS form

If you have CHESS Sponsored holdings with another broker and would like to transfer them to **CMC Markets Stockbroking**, please complete this form and return this with a copy of your certified ID for signature verification.

Please email your completed and signed form and a copy of your certified ID to [scrip@cmcmarkets.com.au](mailto:scrip@cmcmarkets.com.au)

**Certified documentation**

In order for your certification to be accepted, it must be signed and dated (within the last three months) and the certifier is to state that the document is a certified copy of an original. They must provide their name, address, phone number, and in what category of certifier they fall.

For a full list of acceptable certifiers refer to: [www.comlaw.gov.au/Details/F2007L01000](http://www.comlaw.gov.au/Details/F2007L01000) (Section 1.2.1)

A copy of one of the following documents will be accepted once certified:

- **Driver's licence** (front and back) – MUST be current
- **Australian passport** – current or expired within the past TWO years
- **Overseas passport** – MUST be current and show the signature page

## Section A – Existing Sponsoring Broker Details

**In order to transfer your holdings from your existing broker we require the following information:**

Name of Existing Broker \_\_\_\_\_

HIN with Existing Broker

Proceed to **SECTION B** >

## Section B – Holdings to be Transferred

**Select ONE of the below holdings transfer options:**

**HIN and All Holdings**  
 If 'HIN and All Holdings' is selected, your HIN will be transferred from your existing broker as long as you do not have an active HIN with CMC Markets Stockbroking.

**ASX Code**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Selected Holdings** (please list)  
 If you transfer selected holdings only, the company whose securities you are transferring will treat you as a new holder. It may be necessary to re-lodge your current instructions such as DRP or banking details with the share registries.

Security Name	Quantity
_____	_____
_____	_____
_____	_____

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Proceed to **SECTION C** >

## Section C – Current Account Details

When completing the following section, please ensure this matches your existing sponsorship details.

Client Account Number:

Account Holder 1  
(or Company name) \_\_\_\_\_

Account Holder 2  
(if applicable) \_\_\_\_\_

Account Designation < \_\_\_\_\_ Account>  
(if applicable)

ACN  
(if Company) \_\_\_\_\_

Residential Address  
(PO Box not allowed) \_\_\_\_\_

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Postal Address  
 Same as above \_\_\_\_\_

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Proceed to **SECTION D** >

## Section D – Client Agreement

Date: \_\_\_\_ / \_\_\_\_ / 20\_\_\_\_

**Account Holder / Director / Trustee 1**

**Account Holder / Director / Trustee 2**

Client Signature(s) \_\_\_\_\_

Name(s) (printed) \_\_\_\_\_

Title (if company)  
e.g. director, officer, secretary \_\_\_\_\_

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