

# STOCKBROKING ISSUER HOLDINGS TO CHESS CONVERSION form

## Current Account Details

Client Account Number:

Account Holder 1 \_\_\_\_\_  
(or Company name)

Account Holder 2 \_\_\_\_\_  
(if applicable)

Account Designation < \_\_\_\_\_ Account>  
(if applicable)

## Conversion Details

For your conversion to be successful, your registration details on your Issuer Sponsored statements must match your registration details on your trading account exactly, including middle name/s and address. Please ensure that if you are converting shares to a joint holding, your Issuer Sponsored statements are in that joint holding and not single names as this constitutes a change of beneficial ownership.

**Issuer Sponsored Holding statements attached** (tick if applicable)

If you have attached statements you do not need to complete the next step.

**If you have not attached Issuer Sponsored Holding statements, please complete the following:**

ASX Code	Security Name	Quantity	Shareholder Reference Number (SRN)
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## Client Agreement

**I/We authorise CMC Markets Stockbroking to convert the above listed Holdings into my/our trading account. Please provide signatures of all account holders.**

Date: \_\_\_\_\_ / \_\_\_\_\_ / 20\_\_\_\_

**Account Holder 1**

**Account Holder 2**

Client Signature(s) \_\_\_\_\_

Name(s) (printed) \_\_\_\_\_

Title (if company) \_\_\_\_\_  
e.g. director, officer, secretary

Please email your completed and signed form to [scrip@cmcmarkets.com.au](mailto:scrip@cmcmarkets.com.au)